



Insurance Professionals Liability Coverage
Life, Health And Accident Insurance Agents Or Brokers
Self Insured / Self Funded Business Supplement

PLEASE COMPLETE THE FOLLOWING ONLY IF YOU RECEIVE REVENUE FROM YOUR SALES OR ACTIVITIES INVOLVING SELF INSURED OR SELF FUNDED EMPLOYEE BENEFIT PLANS, PENSION AND/OR MEDICAL PLANS.

Applicant Name Policy Number

1. Provide the number of years experience you have providing this type of service / activity.....

2. Provide the name(s) and current AM Best Company ratings for the insurer(s) or reinsurer(s) you use to place stop-loss coverage.

3. Provide the number of:

a. Accounts placed

b. Lives covered.....

4. Indicate the services you provide for each plan.

5. Do you have any TPA duties? [] Yes [] No
If yes, provide complete details regarding your TPA activities.

6. Do you provide any underwriting, plan or claim administration? [] Yes [] No
If yes, provide complete details regarding these activities.

7. Indicate who administers the plan(s)

8. Indicate who develops or designs the contract for the plan(s)

9. Provide complete details of how each plan is constructed, i.e., self funded amount / stop loss protection.

10. Indicate if the stop loss coverage is:
[] Fully funded 100% by insurance or reinsurance, or
[] Partially funded by insurance or reinsurance with the plan purchaser(s) or participants responsible for a coinsurance portion or proportionate share of the stop loss coverage.

11. Do you provide any services for Multiple Employer Trusts or Multiple Employer Welfare Arrangements? [] Yes [] No
If yes, provide complete details of these services.

Fraud Warnings

Attention: Insureds in Alabama, Arkansas, D.C., Maryland, New Mexico, and Rhode Island

Any person who knowingly (or willfully in MD) presents a false or fraudulent claim for payment of a loss or benefit or who knowingly (or willfully in MD) presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

Attention: Insureds in Colorado

It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

Attention: Insureds in Florida

Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree.

Attention: Insureds in Kentucky, New Jersey, New York, Ohio, and Pennsylvania

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties. (In New York, the civil penalty is not to exceed five thousand dollars (\$5,000) and the stated value of the claim for each such violation.)

Attention: Insureds in Louisiana, Maine, Tennessee, Virginia, and Washington

It is a crime to knowingly provide false, incomplete, or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines, and denial of insurance benefits.

Attention: Insureds in Oregon

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or who knowingly presents false information in an application for insurance may be guilty of a crime and may be subject to fines and confinement in prison.

Attention: Insureds in Puerto Rico

Any person who knowingly and with the intention of defrauding presents false information in an insurance application, or presents, helps, or causes the presentation of a fraudulent claim for the payment of a loss or any other benefit, or presents more than one claim for the same damage or loss, shall incur a felony and, upon conviction, shall be sanctioned for each violation with the penalty of a fine of not less than five thousand dollars (\$5,000) and not more than ten thousand dollars (\$10,000), or a fixed term of imprisonment for three (3) years, or both penalties. Should aggravating circumstances be present, the penalty thus established may be increased to a maximum of five (5) years; if extenuating circumstances are present, it may be reduced to a minimum of two (2) years.

Signature

I acknowledge that this document is to be read in conjunction with the main Travelers 1st Choice+ Insurance Professionals Liability Coverage Life, Health and Accident Insurance Agents or Brokers Professional Liability Insurance Claims Made Application and that all notices contained therein are deemed fully incorporated herein. I also affirm that any declarations made in the main application regarding the information contained therein also apply to the information contained herein, including any material submitted herewith.

Signature
(Partner, Member, Officer, Proprietor)

Name (Printed)

Title

Date

**Please send completed forms to Mercer Consumer, a service of Mercer Health & Benefits Administration LLC,
P.O. Box 310179 Des Moines, IA 50331-0179, Telephone: 888-424-2310, Fax: 515-365-0494**